

NON-QUALIFIED ASSET TRANSFER

To transfer assets to a DGI Fund account with individual or joint registration. If you're establishing a new account, please attach a completed DGI Fund application form. **Do not use this form to transfer Tax Qualified Retirement Account.**

SECTION 1: Account Information

| | | |
|---|---------------|---|
| Account Number | | Owner's Name (Last, First, Middle Initial) |
| Owner's Social Security Number | | Date of Birth (MM/DD/YY) |
| Address of Residence (Required) - P.O. Box not accepted | | City, State, Zip |
| Mailing Address - If different from above (P.O. Boxes accepted) | | City, State, Zip |
| () | () | |
| Day Phone | Evening Phone | E-mail Address |

SECTION 2: Current Custodian

To avoid delays, please confirm your current Custodian's address and if they require a Signature Guarantee. If required please complete Section 7. Attach a copy of the current account statement.

| | |
|---|------------------|
| Type of Account Being Transferred/Rolled Over | |
| Current Trustee/Custodian/Employer/Account Administrator | Account Number |
| Address of Custodian (Required) - P.O. Box not accepted | City, State, Zip |
| Mailing Address - If different from above (P.O. Boxes accepted) | City, State, Zip |
| () | () |
| Day Phone | Evening Phone |

SECTION 3: Current Investment Information

Note: The DGI Fund will not accept shares in certificate form. If you are redeeming a mutual fund, please include a copy of your account statement.

Type of investment:

☐ Mutual Fund ☐ Bank Account ☐ CD ☐ Other _____

Type of Account:

☐ Individual ☐ Joint ☐ Other _____

Redemption Instructions:

☐ Mutual Fund

Please redeem ☐ All full and fractional shares ☐ \$ _____ worth of shares

Type of Account:

☐ Individual ☐ Joint ☐ Other _____

Note: No Tax Qualified Retirement Accounts

☐ Bank Account Please withdraw \$ _____ ☐ CD: Date of Maturity _____

☐ All full and fractional shares ☐ Other _____

SECTION 4: Transfer/Payment Instructions

Note: The DGI Fund will not accept shares in certificate form. If you are redeeming a mutual fund, please include a copy of your account statement.

Make Checks Payable to: DGI Fund

FBO Client Name _____

Acct: _____

I authorize and direct the transfer of the amount indicated in Section 3 to the DGI Fund per the below allocation.

You may not transfer from a Roth IRA to a Traditional IRA or a SEP IRA.

☐ Open a new account – I have attached a completed IRA application ☐ Invest in my existing DGI Fund IRA account _____

Complete Transfer By:

- ☐ Check (regular mail - typically no fee)
☐ Overnight Check (faster, but the sending institution may charge you a fee)
☐ Wire (fastest, but the sending institution may charge you a fee)

SECTION 5: Signatures

Please sign below exactly as your name(s) appear on the account you are redeeming/transferring from. All account owners must sign.

Signature _____ Date (MM/DD/YY) _____

Signature _____ Date (MM/DD/YY) _____

Daytime Telephone Number _____

SECTION 6: Signature Guarantee

A signature guarantee is required if redeeming within 30 days of changing bank information or address, in addition to sending wires, ACHs and checks to instructions other than that on record for this account.

To protect yourself against fraud, your signature(s) must be guaranteed ("Medallion Signature Guarantee") by any "eligible" guarantor. The Medallion Signature Guarantee stamp MUST include the words "Signature Guaranteed, Medallion Guaranteed" and comply with the Medallion program requirements. Signatures notarized by a Notary Public are not acceptable. Most banks offer the Medallion Signature Guarantee (MSG) service to banking clients. Please contact your banking institution or one of the following types eligible MSG guarantor institutions if you need a MSG.

Eligible guarantor's: Commercial Banks
Credit Unions
Member Firms of a domestic stock exchange
Savings Associations
Trust Companies

Bank or Dealer Firm _____ Officer's Title _____

Officer's Signature _____ Date (MM/DD/YY) _____

Please mail completed form to:

Regular Mail:

The Disciplined Growth Investors Fund
P.O. Box 219554
Kansas City, MO 64121-9554

Overnight Mail:

DGI Fund
801 Pennsylvania Ave
Suite 219554
Kansas City, MO 64105-1307

[STAMP]

or Fax to 866-205-1499

If you have any questions, please contact an Investor Service Representative at 1-855-DGI-Fund (344)-(3863).